Department of Defense

(iii) Subsequent statutes may provide different or additional constraints on the award of contracts to specified colleges and universities. Contracting officers should consult legal counsel on a case-by-case basis.

[56 FR 36303, July 31, 1991, as amended at 57 FR 14992, Apr. 23, 1992; 58 FR 28463, May 13, 1993; 59 FR 36089, July 15, 1994; 60 FR 29497, June 5, 1995; 60 FR 40107, Aug. 7, 1995; 65 FR 39704, June 27, 2000]

206.302-7 Public interest.

(c) *Limitations*. For the defense agencies, the written determination to use this authority must be made by the Secretary of Defense.

206.303 Justifications.

206.303-1 Requirements.

- (b) Technical and requirements personnel must obtain any review and approval required by department or agency procedures before submission of a recommendation for other than full and open competition to the contracting officer.
- (c) When conditions warrant, a class justification may provide for award of multiple contracts extending across more than one program phase.

[56 FR 36303, July 31, 1991, as amended at 60 FR 61592, Nov. 30, 1995]

206.303-2 Content.

(a) Include sufficient information in the justification to permit its approval as a stand-alone document, even though agency procedures may require supplementary documentation.

206.304 Approval of the justification.

- (a)(4) The Under Secretary of Defense (Acquisition, Technology, and Logistics) may delegate this authority to—
- (A) An Assistant Secretary of Defense; or
- (B) For a defense agency, an officer or employee serving in, assigned, or detailed to that agency who—
- (1) If a member of the armed forces, is serving in a rank above brigadier general or rear admiral (lower half); or
- (2) If a civilian, is serving in a position with a grade under the General Schedule (or any other schedule for civilian officers or employees) that is

comparable to or higher than the grade of major general or rear admiral.

[61 FR 10285, Mar. 13, 1995, as amended at 61 FR 50451, Sept. 26, 1996; 65 FR 39704, June 27, 2000]

PART 207—ACQUISITION PLANNING

Subpart 207.1—Acquisition Plans

Sec.

207.102 Policy.

207.103 Agency-head responsibilities.

207.104 General procedures.

207.105 Contents of written acquisition plans.

207.106 Additional requirements for major systems.

Subpart 207.4—Equipment Lease or Purchase

207.401 Acquisition considerations.

207.470 Statutory requirements.

207.471 Funding requirements.

AUTHORITY: 41 U.S.C. 421 and 48 CFR chapter 1.

SOURCE: 56 FR 36305, July 31, 1991, unless otherwise noted.

Subpart 207.1—Acquisition Plans

207.102 Policy.

When a class justification for other than full and open competition has been approved, planning for competition shall be accomplished consistent with the terms of that approval.

[60 FR 61592, Nov. 30, 1995]

207.103 Agency-head responsibilities.

- (d)(i) Prepare written acquisition plans for—
- (A) Acquisitions for development, as defined in FAR 35.001, when the total cost of all contracts for the acquisition program is estimated at \$5 million or more:
- (B) Acquisitions for production or services when the total cost of all contracts for the acquisition program is estimated at \$30 million or more for all years or \$15 million or more for any fiscal year; and
- (C) Any other acquisition considered appropriate by the department or agency
- (ii) Written plans are not required in acquisitions for a final buy out or one-

49

207.104

time buy. The terms "final buy out" and "one-time buy" refer to a single contract that covers all known present and future requirements. This exception does not apply to a multiyear contract or a contract with options or phases.

- (e) Prepare written acquisition plans for acquisition programs meeting the thresholds of paragraphs (d)(i) (A) and (B) of this section on a program basis. Other acquisition plans may be written on either a program or an individual contract basis.
- (g) The program manager, or other official responsible for the program, has overall responsibility for acquisition planning.
- (i)(i) Apply design-to-cost principles—
- (A) In all major defense acquisition programs (DoDD 5000.1, Defense Acquisition), unless exempted by the Secretary of Defense; and
- (B) To the acquisition of systems, subsystems, and components below the thresholds for major defense acquisition programs, to the extent prescribed by DoDD 5000.1.
- (ii) Consider life-cycle-cost in all acquisitions of systems and equipment.
- (h) For procurement of conventional ammunition, as defined in DoDD 5160.65, Single Manager for Conventional Ammunition (SMCA)—
 - (i) The department or agency—
- (A) Must submit the acquisition plan to the SMCA at the following address: Deputy for Ammunition, Office of the Assistant Secretary of the Army (Acquisition, Logistics and Technology), ATTN: SAAL—ZCA, 5001 Eisenhower Avenue, Alexandria, VA 22333—0001. Telephone: Commercial (703) 617—8001; DSN 767—8001;
- (B) Also must submit an acquisition plan to the SMCA for a new procurement covered by a previously approved acquisition plan, if the SMCA did not review the previously approved acquisition plan; and
- (C) Must not proceed with the procurement until the SMCA provides written concurrence with the acquisition plan.
 - (ii) The SMCA—
- (A) Will review the acquisition plan to determine if it is consistent with retaining national technology and indus-

trial base capabilities in accordance with 10 U.S.C. 2304(c)(3) and Section 806 of Public law 105–261; and

(B) Will notify the department or agency of concurrence or non-concurrence. In the case of a non-concurrence, the SMCA, with assistance from the Army Office of the Executive Director for Conventional Ammunition, will attempt to resolve the matter with the department or agency. If no agreement is reached, the Assistant Secretary of the Army (Acquisition, Logistics and Technology) will make the final decision on the appropriate acquisition approach.

[56 FR 36305, July 31, 1991, as amended at 61 FR 50451, Sept. 26, 1996; 66 FR 47107, Sept. 11, 2001; 67 FR 61516, Oct. 1, 2002]

207.104 General procedures.

(b) The planner should forward the requirements information to the contract administration organization when assistance in identification of potential sources of supply is necessary, when an existing contract is being modified or resolicited, or when contract administration resource requirements will be affected.

[61 FR 50451, Sept. 26, 1996]

207.105 Contents of written acquisition plans.

acquisitions covered 207.103(d)(i) (A) and (B), correlate the plan to the DoD Future Years Defense Program, applicable budget submissions, and the decision coordinating paper/program memorandum, as appropriate. It is incumbent upon the planner to coordinate the plan with all those who have a responsibility for the development, management, or administration of the acquisition. The acquisition plan should be provided to the contract administration organization to facilitate resource allocation and planning for the evaluation, identification, and management of contractor performance risk.

- (a) Acquisition background and objectives—(1) Statement of need. Include—
- (A) Applicability of a decision coordinating paper (DCP), acquisition decision memorandum, Defense Acquisition Board (DAB), and/or internal service reviews. Describe the options in the